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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

Takahiro SUGIMOTO

RECEIVED

Group Art Unit: 2131

JUL 12 2002

Technology Center 2100

Application No.: 09/853,708

Filed: May 14, 2001

Docket No.: 109460

For: METHOD AND APPARATUS FOR ESTABLISHING A SECURITY POLICY, AND
METHOD AND APPARATUS FOR SUPPORTING ESTABLISHMENT OF SECURITY
POLICY

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

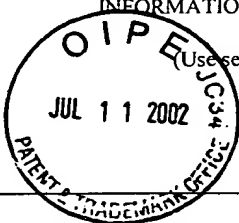
Phillip D. Mancini
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JAO:PDM/jfl

Date: July 11, 2002

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| Form PTO-1449 (REV. 8-83) | | US Dept. of Commerce PATENT & TRADEMARK OFFICE | | ATTY DOCKET NO. 109460 | | APPLICATION NO. 09/853,708 | |
| <div>INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)</div> <div></div> | | | | APPLICANT(S) Takahiro SUGIMOTO | | | |
| | | | | FILING DATE May 14, 2001 | | GROUP 2131 | |
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| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
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| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

Date: July 11, 2002